



DEPARTMENT OF THE NAVY
OFFICE OF THE SECRETARY
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WASHINGTON DC 20350-1000

SECNAVINST 5355.3A
ASN (M&RA) (MMP)
2 Dec 2020

SECNAV INSTRUCTION 5355.3A

From: Secretary of the Navy

Subj: DRUG EDUCATION FOR YOUTH PROGRAM

Ref: (a) SECNAV M-5210.1
(b) SECNAVINST 5215.1F
(c) DEFY Program Management Guide
(d) GAO-04-261SP Principles of Federal Appropriations
Law, Third Edition, Volume I (NOTAL)
(e) NAVSUPINST 4200.99C
(f) DoD Instruction 1330.17 of 14 September 2018
(g) DoD Instruction 1402.05 of 14 July 2016
(h) SECNAVINST 1650.1J
(i) SECNAVINST 7130.10
(j) SECNAVINST M-5214.1

Encl: (1) Actions and Responsibilities
(2) Program Overview
(3) Funding and Purchasing

1. Purpose. To establish policy and procedures for operating a local Drug Education for Youth (DEFY) Program, in accordance with references (a) through (j). This version is a complete revision and must be read in its entirety.

2. Cancellation. SECNAVINST 5355.3.

3. Applicability. This instruction applies to the Offices of the Secretary of the Navy (SECNAV), the Chief of Naval Operations the Commandant of the Marine Corps, the U.S. Navy, the U.S. Marine Corps, installations, commands, activities, field offices, and all other organizational entities within the Department of the Navy (DON).

4. Policy

a. All sponsoring commands must adhere to the policies and procedures contained in this instruction and enclosures.

b. Sponsoring commands must adhere to reference (c), which provides guidance for operating a local DEFY program.

5. Responsibilities. Enclosure (1) lists the responsibilities of commands involved in DEFY.

6. Program Overview. See enclosure (2).

7. Funding and Purchasing. See enclosure (3).

6. Records Management

a. Records created as a result of this instruction, regardless of format or media, must be maintained and dispositioned according to the records disposition schedules found on the Directives and Records Management Division (DRMD) portal page:
<https://portal.secnav.navy.mil/orgs/DUSNM/DONAA/DRM/SitePages/Home.aspx>.

b. For questions concerning the management of records related to this instruction or the records disposition schedules, please contact your local Records Manager or the DRMD program office.

7. Information Management Control. The reporting requirement contained in enclosure (3), paragraph 2c is exempt from information collection control in accordance with reference (j), Part IV, paragraph 7j.



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Performing the Duties of the
Under Secretary of the Navy

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<https://www.secnav.navy.mil/doni/>

ACTIONS AND RESPONSIBILITIES

1. Director, Navy Drug Detection and Deterrence (OPNAV N170D), is designated as the DEFY Program executive agent responsible for all aspects of the program, including central management of all DON DEFY programs. OPNAV N170D must:
 - a. Develop, approve, and maintain program policy and materials.
 - b. Coordinate with OPNAV Antiterrorism/Force Protection Branch (N3AT) and Director, Manpower Account Transition Office to ensure adequate program funding.
 - c. Provide overall program oversight and management, including all program funding.
 - d. Monitor program operation to ensure compliance with established policies, procedures, and guidelines through documentation review and on-site inspections.
 - e. Develop and provide technical assistance to local DEFY programs.
2. OPNAV (N3AT) must function as the DEFY Program resource sponsor, coordinating appropriate funding requirements in Navy's counter-narcotic budget submission, via approval by the Deputy Assistant Secretary of the Navy (Military Personnel Policy), to the Office of the Secretary of Defense - Counter-Narcotics.
3. Headquarters, U.S. Marine Corps, Marine and Family Programs Division (MF), will establish policy for Marine Corps installations interested in implementing a DEFY program. Marine Corps installations shall coordinate DEFY program implementation through MF Division who shall in turn coordinate with OPNAV N170D, as appropriate.
4. Review and Effective Date. In accordance with reference (b), the OPNAV Twenty-First Century Sailor Office will review this instruction on the second anniversary of this instruction's effective date and every two years thereafter to ensure applicability, currency, and consistency with Federal, Department of Defense, SECNAV, and Navy policy.

PROGRAM OVERVIEW

1. General Information

a. Our nation's youth, particularly those ages 9-12 years old, face significant negative pressures that encourage substance abuse, gang involvement, criminal activity, and anti-social behavior. The children of military families are no exception. While there are encouraging downward trends in the rates of substance abuse, youth need life skills training to become successful, productive members of society.

b. Prevention is key to avoiding the consequences of negative behaviors and their eventual impact on combat readiness. Prevention programs require a multi-faceted approach that covers substance abuse related issues, as well as life skills, to strengthen youth protective factors. This approach must be a collaborative effort between base resources, including, but not limited to: Morale, Welfare, and Recreation; base chapel; child and youth development programs; and base security. Local commanders are strongly encouraged to engage local resources as appropriate.

c. Commands seeking approval to operate a local DEFY program will coordinate with and receive approval from the DEFY Program Office.

d. All materials, manuals, supplies, compact disks, videos, and equipment purchased for the local DEFY program must be maintained by the local command. Individuals are not authorized to permanently remove materials, manuals, supplies, compact disks, videos, equipment, files, records, and documentation for any reason. In the event a local program is discontinued, the command will coordinate the disposition of DEFY-related materials with the DEFY Program Office.

e. Marine Corps installations interested in implementing a DEFY program will coordinate with MF. MF can coordinate with OPNAV N170D or direct Marine Corps installations to coordinate directly with the DEFY Program Office to provide the most efficient operations.

2. Program Description

a. DEFY is a voluntary program for youth and adult mentor participants. Voluntary participation is critical to establishing a lasting, positive mentor-youth relationship. Local commands will neither mandate nor force participation of Service members.

b. DEFY is a preemptive prevention program that enables strong kids, in strong families, supporting a ready fleet and Marine Corps operating forces.

c. DEFY is not an intervention program. Youth with suspected or documented substance use disorders, abuse, or addiction will be removed from the program and their legal guardian will be informed.

3. DEFY Mission and Vision Statements

a. Mission: To empower military youth to build positive, healthy lifestyles as drug-free, successful citizens.

b. Vision: To improve combat readiness by providing a drug demand reduction and comprehensive life skills program designed to improve youth resiliency and strength.

4. Inter-agency Cooperation. The DEFY Program Office will work with other DoD and non-DoD entities operating a local DEFY program to ensure the program has the most up-to-date program information.

5. Program Size. Programs must have at least 16 youth. Programs with less than 16 youth must get prior approval from the DEFY Program Office.

FUNDING AND PURCHASING

1. Funding and Purchasing

a. Funding for the DEFY Program is provided by the Office of the Secretary of Defense Counter-Narcotics Central Transfer Account (CN-CTA) annual budget.

b. The DEFY Program Office is authorized to purchase services and materials necessary for the operation of local programs.

c. Reference (i) provides additional funding guidance.

2. Funds Management/Internal Control

a. Administrators are to identify funds that cannot be obligated in each Fiscal Year as excessive to the DON Counter Drug Program Office.

b. Federal and contract personnel funded by the CN-CTA must be 100 percent engaged in counter-narcotic related efforts.

c. Upon request by the DON Counter Drug Program Office, administrators are to provide key support purchasing documents and labor reports for inspection.